

# Changes in Inorganic Fine Particulate Matter Sensitivities to Precursors Due to Large-Scale US Emissions Reductions \*

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# Changes in Inorganic Fine Particulate Matter Sensitivities to Precursors Due to Large-Scale US Emissions Reductions

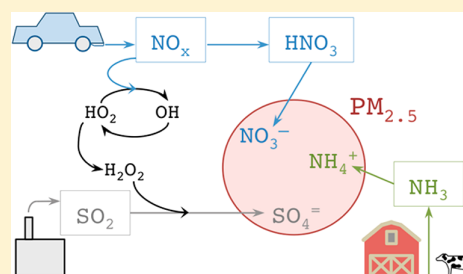
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## Supporting Information

**ABSTRACT:** We examined the impact of large US emissions changes, similar to those estimated to have occurred between 2005 and 2012 (high and low emissions cases, respectively), on inorganic PM<sub>2.5</sub> sensitivities to further NO<sub>x</sub>, SO<sub>2</sub>, and NH<sub>3</sub> emissions reductions using the chemical transport model GEOS-Chem. Sensitivities to SO<sub>2</sub> emissions are larger year-round and across the US in the low emissions case than the high emissions case due to more aqueous-phase SO<sub>2</sub> oxidation. Sensitivities to winter NO<sub>x</sub> emissions are larger in the low emissions case, more than 2× those of the high emissions case in parts of the northern Midwest. Sensitivities to NH<sub>3</sub> emissions are smaller (~40%) in the low emissions case, year-round, and across the US. Differences in NO<sub>x</sub> and NH<sub>3</sub> sensitivities indicate an altered atmospheric acidity. Larger sensitivities to SO<sub>2</sub> and NO<sub>x</sub> in the low emissions case imply that reducing these emissions may improve air quality more now than they would have in 2005; conversely, NH<sub>3</sub> reductions may not improve air quality as much as previously assumed.



## INTRODUCTION

Fine particulate matter (PM<sub>2.5</sub>) adversely affects cardiovascular and respiratory functioning<sup>1</sup> and is a key focus of air quality policies such as the National Ambient Air Quality Standards (NAAQS). Designing effective policies requires knowledge of how PM<sub>2.5</sub> responds to changes in its precursors—its sensitivity to emissions. The precursors of inorganic PM<sub>2.5</sub> are nitrogen oxides (NO<sub>x</sub>), sulfur dioxide (SO<sub>2</sub>), and ammonia (NH<sub>3</sub>). US NO<sub>x</sub> and SO<sub>2</sub> emissions decreased by 42% and 62%, respectively, between 2005 and 2012, while NH<sub>3</sub> emissions remained approximately constant.<sup>2</sup> These emissions changes are potentially large enough to change the sensitivity of PM<sub>2.5</sub> to future emissions reductions and hence change the expected benefits of air quality policies. We analyze where and to what extent large changes in precursor emissions, similar to those that occurred between 2005 and 2012, alter US PM<sub>2.5</sub> sensitivities to further emissions reductions.

Several chemical mechanisms affect PM<sub>2.5</sub> concentrations. Nitric acid (HNO<sub>3</sub>) is formed from NO<sub>x</sub> and the fraction of NH<sub>3</sub> and HNO<sub>3</sub> in particulates (the partitioning of these species) depends on ambient temperature, humidity, and acidity. NH<sub>3</sub> is the primary basic species, forming ammonium (NH<sub>4</sub><sup>+</sup>) in particles to neutralize acidic nitrate (NO<sub>3</sub><sup>-</sup>) and sulfate (SO<sub>4</sub><sup>2-</sup>, formed from SO<sub>2</sub>). PM<sub>2.5</sub> sensitivities to NH<sub>3</sub> emissions are large, and it has been argued that reducing NH<sub>3</sub> is a cost-effective strategy to reduce PM<sub>2.5</sub>.<sup>3–8</sup> Sulfate is not volatile like NH<sub>3</sub> and HNO<sub>3</sub>, but it influences the ambient acidity. PM<sub>2.5</sub> concentrations can even increase as SO<sub>4</sub><sup>2-</sup> concentrations decrease, by allowing more HNO<sub>3</sub> to

condense.<sup>3,9</sup> However, multiple reactions oxidize SO<sub>2</sub> into SO<sub>4</sub><sup>2-</sup>, and each reaction responds differently to NO<sub>x</sub> and hydrocarbon concentrations.<sup>10,11</sup>

PM<sub>2.5</sub> sensitivities have been estimated using a variety of approaches, including finite differences,<sup>12,13</sup> direct decomposition,<sup>14–17</sup> and adjoint modeling.<sup>18,19</sup> Sensitivity estimates calculate derivatives based around atmospheric conditions associated with particular emissions. Extrapolating these estimates to other levels of emissions is associated with some degree of error. Zhang et al.<sup>17</sup> show that a linear extrapolation underestimates the PM<sub>2.5</sub> response to a 50% decrease in NO<sub>x</sub> emissions by 15%, averaged over the US, with local underestimates up to 50%. Higher-order sensitivity analysis can more accurately predict responses beyond the linear range,<sup>14</sup> but these approaches are computationally demanding.

Studies of health and economic impacts of emissions often apply estimates of PM<sub>2.5</sub> sensitivities to parametrize how air quality responds to emissions. Muller and co-workers<sup>12,20,21</sup> use the integrated assessment model APEEP to calculate marginal damages (in US dollars per ton) by increasing emissions from one source by one ton and tracing impacts on human health, agriculture, and other vulnerable structures. The base case in APEEP uses the EPA's 2002 National Emissions Inventory (NEI02), but Muller<sup>21</sup> implements the 2005 inventory

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(NEI05). Fann, Baker, and Fulcher<sup>22,23</sup> use the CAMx Particle Source Apportionment Technology to attribute PM<sub>2.5</sub> concentrations to emissions from each economic sector. Their simulations use NEI05 and projections for 2016 based on proposed air quality rules. Similarly, Fann, Fulcher, and Hubbell<sup>24</sup> use NEI02 with projections for 2015 as the emissions inventory for EPA's Response Surface Model of air quality.<sup>25</sup> In these studies, the projections based on then-proposed rules exhibit 30% decreases in national NO<sub>x</sub> and SO<sub>2</sub> emissions between 2001 and 2010.<sup>25</sup> These emissions actually decreased by 34% and 53%, respectively, and NH<sub>3</sub> emissions increased by 17%. Whether sensitivities calculated using older (2002 and 2005) emissions, or even past estimates of current emissions, are sufficiently accurate for health and economic impact assessment depends on the nonlinear response of PM<sub>2.5</sub>.

We evaluate the influence of large NO<sub>x</sub> and SO<sub>2</sub> emissions changes (comparable to those that occurred in the US between 2005 and 2012) on PM<sub>2.5</sub> sensitivities and identify the most important nonlinear processes. We find that lower NO<sub>x</sub> emissions lead to higher SO<sub>2</sub> sensitivities across the US and to higher NO<sub>x</sub> sensitivities in winter in cold, humid regions such as the northern Midwest. Lower NO<sub>x</sub> and SO<sub>2</sub> emissions also yield smaller sensitivities to NH<sub>3</sub>. Our results suggest that the benefits of NO<sub>x</sub> reductions could be much larger now that emissions are lower, especially in winter (when NH<sub>3</sub> emissions were thought to be dominant). We also show that SO<sub>2</sub> controls are still effective, despite >60% reductions nationally. The potential changes identified highlight the need to review the sensitivities used in health, economic, and policy studies and consider a multipollutant approach to air quality policy.

## METHODOLOGY

**Chemical Transport Model.** We use the GEOS-Chem chemical transport model v9-02<sup>26,27</sup> (<http://geos-chem.org/>). GEOS-Chem has previously been evaluated against measurements of ozone and hydrocarbon concentrations<sup>26</sup> and inorganic PM<sub>2.5</sub> component concentrations.<sup>7,28,29</sup> It has been used to study US air quality<sup>7,8</sup> and climate change impacts on PM<sub>2.5</sub> formation.<sup>30–32</sup>

GEOS-Chem simulates ozone-NO<sub>x</sub>-hydrocarbon-aerosol chemistry<sup>27</sup> coupled to inorganic aerosol thermodynamics, which determines the partitioning of NH<sub>3</sub> and HNO<sub>3</sub>. The thermodynamic module is ISORROPIA II,<sup>33</sup> incorporated into GEOS-Chem by Pye et al.<sup>30</sup> We use nested-grid simulations<sup>34,35</sup> with at 0.5° × 0.67° resolution (55 km × 57 km at 40° N) over North America (10°–70° N, 140°–40° W) and 2° × 2.5° resolution (222 km × 213 km) elsewhere. The chemical mechanism has a temporal resolution of 20 min and a vertical grid of 47 layers extending to 80 km, with 30 layers in the lowest 11 km and 14 layers in the lowest 2 km. Our simulations are driven by GEOS5 meteorology from the NASA Global Modeling and Assimilation Office (GMAO). Each simulation uses meteorology for January or July of 2005, representing winter and summer conditions.

GEOS-Chem v9-02 has three new features relevant to our simulations. First, it includes soil NO<sub>x</sub> emissions that respond dynamically to meteorology and nitrogen deposition.<sup>36</sup> Second, it limits planetary boundary heights from falling lower than a friction velocity-based minimum. This corrects abnormally low nighttime boundary layers in GEOS5 meteorology compared to observations<sup>37</sup> and improves the diurnal variability in simulated chemistry.<sup>28</sup> Third, it reduces the rate of production of NO<sub>3</sub><sup>-</sup> from N<sub>2</sub>O<sub>5</sub> hydrolysis, reducing some of the high nitrate bias

seen in GEOS-Chem.<sup>28,38,39</sup> These features improve simulated NO<sub>x</sub> concentrations (especially the daytime-nighttime difference) and reduce the high NO<sub>3</sub><sup>-</sup> bias noted previously.<sup>28,29,38</sup>

**Emissions.** We use anthropogenic emissions from the EDGAR and RETRO global inventories plus several regional inventories (over e.g., China, Europe).<sup>40</sup> The US inventory is EPA's National Emissions Inventory for 2005 (NEI05). NEI05 provides emissions of NO<sub>x</sub>, SO<sub>2</sub>, NH<sub>3</sub>, several hydrocarbon species, and primary PM. We seasonally adjust NH<sub>3</sub> emissions from NEI05 following Zhang et al.,<sup>38</sup> decreasing winter emissions to better match observations, consistent with process-based inventories.<sup>41</sup>

We created two groups of simulations, based around a high and low emissions case, to test the influence of large-scale emissions reductions on PM<sub>2.5</sub> sensitivity. The national, annual total emissions of NO<sub>x</sub>, SO<sub>2</sub>, and NH<sub>3</sub> as reported to the US EPA for 2005 and 2012<sup>2</sup> exhibit a 42% decrease in NO<sub>x</sub>, a 62% decrease in SO<sub>2</sub>, and a 1% increase in NH<sub>3</sub> emissions. We used these ratios to scale the high emissions case (using NEI05) to the low emissions case. Table 1 shows the resulting total

**Table 1. Anthropogenic Emissions (in kilotonnes = 10<sup>6</sup> kg) of Inorganic PM<sub>2.5</sub> Precursor Emissions over the North American Domain in the Base Simulations of Each Case (High and Low Emissions) and the Changes in Emissions ΔE for Sensitivity Calculations**

	E (high)		E (low)		ΔE	
	Jan	Jul	Jan	Jul	Jan	Jul
NO <sub>x</sub>	1343.3	1248.9	909.6	855.3	206.5	187.5
SO <sub>2</sub>	849.4	834.2	491.0	484.5	115.6	112.8
NH <sub>3</sub>	169.9	548.6	170.7	552.3	15.9	73.8

anthropogenic emissions over the nested-grid domain. CO, VOC, and primary PM<sub>2.5</sub> emissions in the US also changed by -16%, +1%, and +12% between 2005 and 2012. Primary PM<sub>2.5</sub> emissions have a direct effect on total PM<sub>2.5</sub> levels, so sensitivities to primary emissions stay constant. Changes to VOC and CO emissions would have effects on both organic and inorganic PM<sub>2.5</sub> components. Since organic PM<sub>2.5</sub> is not included in our study, we did not change VOC or CO emissions, but we do discuss the potential oxidative impact of CO emissions changes.

While our scaling approach matches changes in total emissions, the spatial pattern of sources may have changed as well. Reported NO<sub>2</sub> column densities over major cities in the US are between 24% and 48% lower in 2012 than in 2005,<sup>42</sup> but NO<sub>2</sub> concentrations in the four quadrants of the US are ~37–40% lower in 2011 than in 2005.<sup>43</sup> Russell, Valin, and Cohen<sup>44</sup> estimate that NO<sub>x</sub> emissions from major power plants decreased by 26% between 2005 and 2011, while mobile emissions decreased by 34%. Fioletov et al.<sup>45</sup> find that SO<sub>2</sub> concentrations over major US power plants are consistently ~40% lower in 2008–2010 than in 2005–2007. This previous work suggests that the total emissions decreases are distributed broadly across the country and across sectors. Hence, our scaling approach approximates the actual emissions changes.

We calculate PM<sub>2.5</sub> sensitivities as the finite difference in PM<sub>2.5</sub> concentrations between simulations with emissions slightly increased and decreased around the baseline. Specifically, sensitivity is computed as

$$S(c) = \frac{PM_{2.5}(E(c) + \Delta E) - PM_{2.5}(E(c) - \Delta E)}{2 \times \Delta E} \quad (1)$$

where  $c$  is the case (high or low emissions) with national-total emissions mass  $E(c)$ ;  $\Delta E$  is the mass change in emissions; and  $PM_{2.5}(E)$  is the  $PM_{2.5}$  concentration in the simulation with emissions  $E$ . Emissions of other species are fixed at their baseline values for that case. The resulting sensitivities have units of  $ng\ m^{-3}\ kt^{-1}$ , where  $kt$  denotes 1000 t of emissions. The mass changes in emissions for each species and each season are in Table 1.

Calculating sensitivities using mass changes helps clarify the mechanisms that contribute most to sensitivity changes. Since  $PM_{2.5}$  is the aggregate of multiple species, normalized (%-based) sensitivities can change between the emissions cases even if oxidation, deposition, and transport processes remain constant. We also use a centered finite difference, as opposed to a one-sided difference that may be more reflective of the effects of a regulation (i.e., a decrease in emissions). This allows our results to be comparable to the adjoint and direct decoupled methods of calculating sensitivities, which also produce centered derivatives.

## RESULTS

**Model Evaluation.** We evaluated model performance by comparing inorganic  $PM_{2.5}$  component concentrations in our high emissions case (using NEI05 emissions) to measurements in January and July of 2005 from two monitoring networks: the Interagency Monitoring of Protected Visual Environments (IMPROVE) network<sup>46</sup> and the EPA Air Quality System (AQS<sup>47</sup>). Here we report the coefficient of determination (squared correlation,  $r^2$ ) and the normalized mean bias (NMB, model mean over observed mean minus one). The statistics use measured and modeled concentrations paired in both space and time. A more detailed evaluation is provided in the SI (Tables S1 and S2 and Figures S3 and S4).

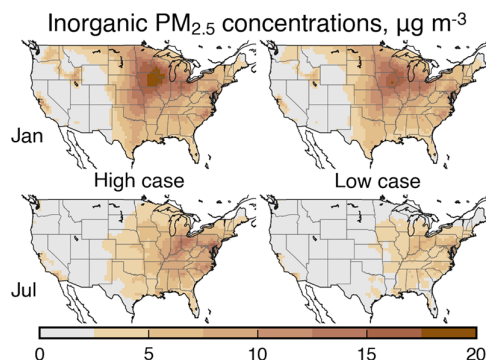
Our simulation correlates reasonably well ( $r^2 > 30\%$ ) with several measurements: January IMPROVE measurements of all species; both IMPROVE and AQS measurements of July  $SO_4^{2-}$ ; and January AQS measurements of  $NH_4^+$ . Modeled  $SO_4^{2-}$  is unbiased in January (NMB < 5%) but slightly low in July (NMB  $\sim -15\%$ ) compared to either set of measurements. Modeled January  $NH_4^+$  and  $NO_3^-$  concentrations are biased high (NMB = 86%, 89% respectively, compared to AQS; NMB = 51%, 134% compared to IMPROVE) consistent with previous GEOS-Chem analyses.<sup>28,29,38</sup>

Simon, Baker, and Phillips<sup>48</sup> compare published performance statistics from a range of chemical transport models (not including GEOS-Chem). They find that modeled  $SO_4^{2-}$  is unbiased (NMB < 15%), whereas  $NO_3^-$  is biased high in winter (NMB 0% to 50%) and low in summer (-15% to -75%). Squared correlations for  $SO_4^{2-}$  and  $NH_4^+$  are between 25% and 60%, compared to 10%–45% for  $NO_3^-$ . Comparing their results to our statistics indicates that GEOS-Chem has a higher bias in winter  $NO_3^-$  than is typical but otherwise performs similar to other models.

Within the northern Midwest – the same region as used for the thermodynamic analysis in Figure 3 – the NMB of modeled  $NO_3^-$  compared to IMPROVE measurements is 109% and  $r^2 = 42\%$ , showing that GEOS-Chem estimates  $NO_3^-$  in this area better than in the national average (NMB = 134%,  $r^2 = 39\%$ ). While sulfate biases are generally smaller than nitrate, modeled  $SO_4^{2-}$  is low in this area (NMB = -48%), and a

simple scaling to lower model  $SO_4^{2-}$  would bring the  $NO_3^-$  NMB down to 97%. Modeled  $NO_3^-$  is thus better simulated in the region where its behavior is most important to our analysis, detailed below.

**PM<sub>2.5</sub> Concentrations.** Figure 1 shows the total inorganic  $PM_{2.5}$  concentrations from the high and low emissions cases. Figure S1 shows the components individually.



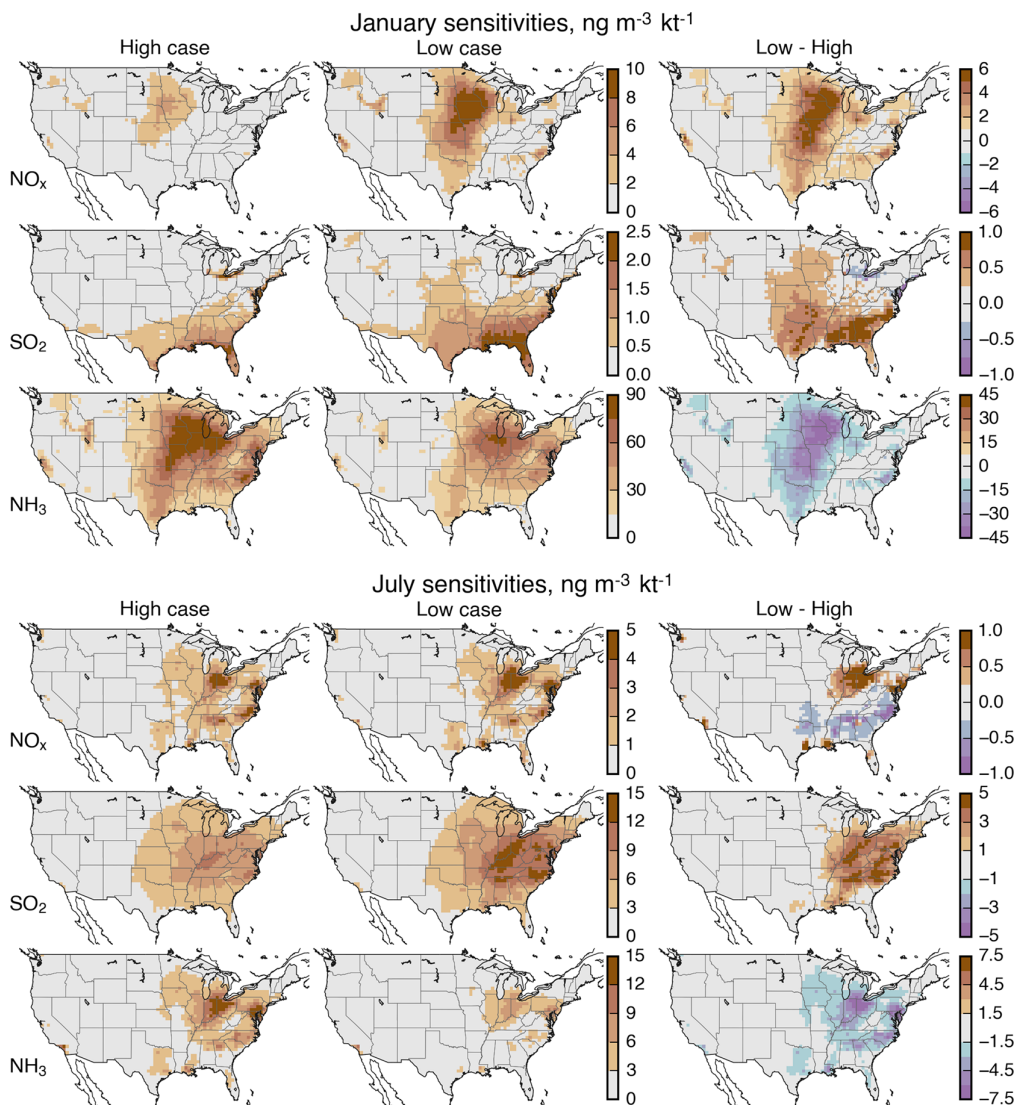
**Figure 1.** Spatial maps of the modeled surface concentrations of inorganic  $PM_{2.5}$ . Columns show the high and low emissions cases; rows show January and July averages.

January  $PM_{2.5}$  peaks in the northern Midwest and is elevated over the eastern US. Northern Midwest  $PM_{2.5}$  is primarily composed of  $NH_4^+$  and  $NO_3^-$  with low  $SO_4^{2-}$ . National average  $NO_3^-$ ,  $NH_4^+$ , and  $PM_{2.5}$  concentrations in the low emissions case are 7.7%, 9.5%, and 11.6% lower than in the high emissions case, respectively. However, these decreases are not uniform across the US. The area around Kentucky, Ohio, and Virginia shows *higher* aerosol  $NO_3^-$  in the low emissions case than in the high emissions case. Higher  $NO_3^-$  is offset by lower  $SO_4^{2-}$ , so total  $PM_{2.5}$  concentrations are  $<0.15\ \mu g\ m^{-3}$  higher in the low emissions case than the high emissions case.

Summer  $PM_{2.5}$  concentrations are generally lower than in winter but still higher over the eastern US than the western US. The largest mass contribution to summer  $PM_{2.5}$  comes from  $SO_4^{2-}$ , followed by  $NH_4^+$ .  $SO_4^{2-}$ ,  $NH_4^+$ , and total  $PM_{2.5}$  are all  $\sim 40\%$  lower in the low emissions case than in the high emissions case, compared to 62% lower  $SO_2$  emissions. Some urban and regional areas (San Diego, New Orleans, Atlanta, Houston/Eastern Texas, Philadelphia/New Jersey, North Carolina, Illinois-Indiana-Ohio) show substantial summer aerosol  $NO_3^-$  concentrations, up to  $5\ \mu g\ m^{-3}$ . Aerosol  $NO_3^-$  levels in these regions are lower in the low emissions case by 30.5% on average, compared to 42% lower  $NO_x$  emissions. However, model-measurement correlations of summer  $NO_3^-$  concentrations are small ( $r^2 = 0.8\%$ , 3.2% compared to IMPROVE and AQS, respectively).

**PM<sub>2.5</sub> Sensitivity to Emissions.** Here we describe the sensitivities of  $PM_{2.5}$  to the different precursor emissions, shown in Figure 2 and computed using eq 1.

January  $PM_{2.5}$  is most sensitive to  $NH_3$  emissions (Figure 2, top panel, bottom row) in both the high and low emissions cases. Sensitivity to  $NH_3$  peaks in the northern Midwest at values of  $118\ ng\ m^{-3}\ kt^{-1}$  in the high emissions case. In comparison, maximum winter sensitivities to  $NO_x$  and  $SO_2$  are 8 and  $10\ ng\ m^{-3}\ kt^{-1}$ , respectively. Over the southeastern US and the mid-Atlantic coast, winter sensitivities to  $SO_2$  are prominent and can be  $>10\%$  of the (local) sensitivity to  $NH_3$ .



**Figure 2.** Sensitivities of  $\text{PM}_{2.5}$  concentrations to emissions of the precursors  $\text{NO}_x$ ,  $\text{SO}_2$ , and  $\text{NH}_3$ , in units of  $\text{ng m}^{-3}$  of  $\text{PM}_{2.5}$  per thousand metric tons (kt) of emissions. The top and bottom panels show sensitivities in January and July, respectively. The columns show the high emissions case, the low emissions case, and their difference.

We find slight ( $<0.65 \text{ ng m}^{-3} \text{ kt}^{-1}$ ) negative sensitivities to winter  $\text{SO}_2$  emissions near the eastern Great Lakes.

The differences in January sensitivities between the high and low emissions cases show two policy-relevant features. First, sensitivities to  $\text{NH}_3$  are much smaller in the low emissions case: 28% smaller in the national average and up to 72% smaller in the northern Midwest. In contrast, January sensitivities to  $\text{NO}_x$  are larger in the low emissions case: 202% larger in the national average. Second, average sensitivities to January  $\text{SO}_2$  emissions are 42% larger in the low emissions case. There are some local exceptions, such as the northeast US, that show slightly smaller sensitivities in the low emissions case.

In contrast to January, the July sensitivities to different precursors have similar magnitudes. July  $\text{PM}_{2.5}$  sensitivities to  $\text{SO}_2$  emissions (Figure 2, lower panel, middle row) are high across the eastern US and peak in the Ohio River Valley. Sensitivities to  $\text{SO}_2$  are larger in the low emissions case than the

high emissions case at nearly every grid point: 23% larger in the national average and up to 80% larger in the eastern US.

In the high emissions case, summer sensitivities to  $\text{NH}_3$  emissions are large around the Great Lakes region, eastern Pennsylvania, and a few urban regions. These regions also have high summer sensitivities to  $\text{NO}_x$  emissions, though the magnitudes are different: peak summer sensitivities to  $\text{NH}_3$  and to  $\text{NO}_x$  in the Great Lakes region are  $18.6$  and  $4.9 \text{ ng m}^{-3} \text{ kt}^{-1}$ , respectively. Summer sensitivities to  $\text{NH}_3$  are 38% smaller on average in the low emissions case than the high emissions case.

Summer sensitivities to  $\text{NO}_x$  emissions are evident around the Great Lakes and a few localized areas on the Atlantic and Gulf coasts. Unlike  $\text{NH}_3$ , the sign of changes in sensitivity to summer  $\text{NO}_x$  emissions varies: the Great Lakes region and some urban areas have larger sensitivities in the low emissions case, whereas the southeastern US has smaller sensitivities. In grid cells where sensitivity to  $\text{NO}_x$  is larger in the low emissions

case, it is 37% larger on average; in cells where the sensitivity is smaller, it is 29% smaller on average.

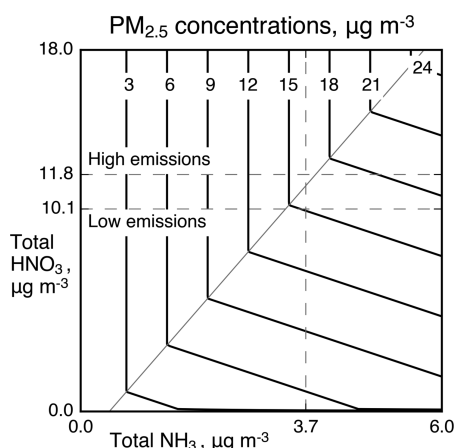
## ■ UNDERLYING PROCESSES

We investigated several processes that could contribute to differences in  $PM_{2.5}$  sensitivities between the high and low emissions cases. In this section, we focus on two particularly important aspects. First, the thermodynamics of ammonium nitrate formation determines winter sensitivities throughout the Midwest. Second, the kinetics of  $SO_2$  oxidation help explain changes in sensitivity to both  $NO_x$  and  $SO_2$  emissions across the eastern US in both seasons. In the SI, we describe how well a linear model based on the high emissions case sensitivities predicts the  $PM_{2.5}$  concentrations in the low emissions case, showing how sensitivity changes impact the performance of a simplified model.

### Thermodynamics of Ammonium Nitrate Formation.

Cold and humid environments, such as the northern Midwest in winter, promote condensation of  $NH_3$  and  $HNO_3$  into  $PM_{2.5}$ .  $NH_4^+$  and  $NO_3^-$  concentrations are high in this region (Figure S1) as are sensitivities to  $NH_3$  and  $NO_x$  emissions (Figure 2). The differences in  $NO_x$  and  $NH_3$  sensitivities between the high and low emissions cases are highly correlated ( $r^2 = 94\%$ ) and opposite. In addition,  $PM_{2.5}$  concentrations in this region are similar in the high and low emissions cases—18 and  $16 \mu g m^{-3}$ , respectively.

To focus on thermodynamic effects, we used ISORROPIA II,<sup>33</sup> the thermodynamic module in GEOS-Chem, to explore how  $PM_{2.5}$  concentrations vary with total available  $HNO_3$  and  $NH_3$ .  $SO_4^{2-}$  concentrations, temperature, and relative humidity are fixed at their average values between 89 and 97°W and 39.75 and 45.25°N:  $1.27 \mu g m^{-3}$ ,  $-8.1 \text{ }^\circ C$ , and 83%. Figure 3



**Figure 3.**  $PM_{2.5}$  concentrations in the thermodynamic model ISORROPIA using temperature, relative humidity, and  $SO_4^{2-}$  concentrations typical of the northern Midwest in January. The dashed lines indicate simulated concentrations in the high and low emissions cases. The solid gray line denotes where there is exactly enough  $NH_3$  to neutralize both  $SO_4^{2-}$  and  $NO_3^-$ .

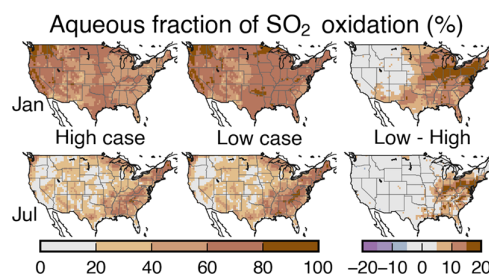
shows  $PM_{2.5}$  under these conditions. There is a line at which the system is neutral with respect to  $NH_3$ , i.e. where there is exactly enough  $NH_3$  to fully neutralize both the  $SO_4^{2-}$  and  $NO_3^-$ , and this neutrality line distinctly separates nitrate-limited and nitrate-saturated regimes. Below the line,  $PM_{2.5}$  concentrations depend strongly on  $HNO_3$  concentrations (nitrate-

limited); above the line,  $PM_{2.5}$  concentrations depend only on  $NH_3$  concentrations (nitrate-saturated). Also shown are the  $NH_3$  and  $HNO_3$  concentrations from the high and low emissions cases, demonstrating why there is a large change in sensitivity: the high emissions case is nitrate-saturated, so that large changes in emissions yielded little change in  $PM_{2.5}$ , whereas the low emissions case is nitrate-limited, implying far greater sensitivity to  $NO_x$  emissions. A broad area in the northern Midwest presents conditions for which the line between nitrate-limited and nitrate-saturated is sharp, and nitrate limitation occurs to some degree across the US in the winter low emissions case.

**Kinetics of  $SO_2$  Oxidation.** The oxidation rate of  $SO_2$  into  $SO_4^{2-}$  determines whether  $PM_{2.5}$  forms before  $SO_2$  is transported or deposited and hence directly affects  $PM_{2.5}$  sensitivities to  $SO_2$  emissions.  $SO_2$  oxidation occurs in both gas and aqueous phases.<sup>49</sup> Gas-phase oxidation involves the reaction of  $SO_2$  with hydroxyl radicals (OH). In the aqueous-phase,  $SO_2$  diffuses into cloud droplets, where it reacts with other soluble gases (mostly hydrogen peroxide,  $H_2O_2$ ) to form  $SO_4^{2-}$ . If cloud droplets evaporate instead of precipitate,  $SO_4^{2-}$  remains as  $PM_{2.5}$ . GEOS-Chem outputs the production rate of  $SO_4^{2-}$  from the gas-phase reaction and from three additional reactions (aqueous  $H_2O_2$ , aqueous  $O_3$ , and on sea salt particles) individually, allowing the formation pathway to be explored in detail.

The rate and location of  $SO_2$  oxidation depends on whether the OH or  $H_2O_2$  reaction dominates.  $NO_x$  concentrations determine relative concentrations of OH and the hydroperoxy radical  $HO_2$ , which reacts with itself to form  $H_2O_2$ . Lower  $NO_x$  concentrations promote less OH and more  $H_2O_2$ , favoring aqueous  $SO_2$  oxidation. More complex organic peroxy radicals can also produce  $H_2O_2$ , and their concentrations also increase as  $NO_x$  concentrations decrease.

We explored oxidation changes in the high and low emissions cases by examining the fraction of oxidation occurring in the aqueous phase (Figure 4). The low emissions case has a larger



**Figure 4.** Fraction of  $SO_2$  oxidation that occurs through the aqueous  $H_2O_2$  reaction. This fraction is based on the column oxidation, i.e., the amount of oxidation that occurs throughout the entire atmosphere overlying a grid cell.

fraction of aqueous-phase  $SO_2$  oxidation, as expected from lower  $NO_x$  concentrations. In January, the largest differences are in the northern and northeastern US, where aqueous oxidation is 50% of total oxidation in the high emissions case and 70% in the low emissions case. In July, the largest differences are in the Ohio River Valley: 35% aqueous oxidation in the high emissions case and 55% in the low emissions case. In addition,  $H_2O_2$  concentrations in the eastern US (east of 100° W) are 10.6% higher in the low emissions case than in the

high emissions case and OH concentrations are 10.7% lower, supporting the link between NO<sub>x</sub> emissions and SO<sub>2</sub> oxidation.

We also investigated whether increased aqueous-phase oxidation would lead to faster SO<sub>2</sub> and SO<sub>4</sub><sup>2-</sup> rainout due to more sulfur chemistry occurring within cloud droplets. The wet deposition rate (units s<sup>-1</sup>) is a measure of the speed of rainout (calculation details in the SI). Wet deposition rates are larger in the low emissions case than in the high emissions case by about 5%, compared to 50% larger rates of aqueous SO<sub>2</sub> oxidation. In addition, the differences in PM<sub>2.5</sub> sensitivities to SO<sub>2</sub> emissions between the high and low emissions cases are spatially correlated (*r*<sup>2</sup> = 57%) with the fraction of aqueous-phase oxidation. Thus, while faster rainout occurs under low NO<sub>x</sub> emissions, it cannot compensate for the increase in aqueous oxidation.

We did not include the 16% decrease in CO emissions between 2005 and 2012 in our simulations. CO reacts with OH to form HO<sub>2</sub> as the counterpart to NO + HO<sub>2</sub> → NO<sub>2</sub> + OH. Lower CO emissions would lead to a larger OH/HO<sub>2</sub> ratio, less H<sub>2</sub>O<sub>2</sub> production, and more gaseous SO<sub>2</sub> oxidation. Thus, lower CO emissions could partially offset the shift to more aqueous-phase SO<sub>2</sub> oxidation in our simulations. However, Duncan et al.<sup>50</sup> suggest that much of the US is now in a NO<sub>x</sub>-limited ozone formation regime and hence that NO<sub>x</sub> exerts more control on HO<sub>x</sub> partitioning (and thus the SO<sub>2</sub> oxidation pathway) than CO does.

## DISCUSSION

Our study shows large differences in the sensitivities of PM<sub>2.5</sub> concentrations to precursor emissions between two sets of simulations representing a 2005 baseline (high emissions) and a 2012 analogue (low emissions). We find that winter NO<sub>x</sub> reductions represent a potential new opportunity for improving air quality, due to PM<sub>2.5</sub> being more nitrate-limited under low emissions over much of the US, particularly the Midwest. Lower NO<sub>x</sub> emissions also promote aqueous-phase SO<sub>2</sub> oxidation, increasing the sensitivity of PM<sub>2.5</sub> to SO<sub>2</sub>. Sensitivities to NH<sub>3</sub> emissions are lower in the low emissions case, primarily as a direct response to a less acidic atmosphere.

Results for winter in the northern Midwest are driven by the thermodynamic behavior of ammonium nitrate aerosols and are well-constrained for the meteorological conditions (i.e., cold and moist) that prevail there, where concentrations are highest. Figure 3 shows that nitrate availability will play a major role in determining PM<sub>2.5</sub> in this region in the near future. Accounting for the model's high NO<sub>3</sub><sup>-</sup> bias can only push the system further into the nitrate-limited regime. Nevertheless, the large absolute sensitivities to winter NO<sub>x</sub> emissions through NO<sub>3</sub><sup>-</sup> formation are subject to the model bias. Several studies<sup>28,29,38</sup> have shown that the standard GEOS-Chem simulation overestimates HNO<sub>3</sub> and aerosol NO<sub>3</sub><sup>-</sup> concentrations compared to both CASTNet and AQS measurements. There is evidence that certain types of NO<sub>3</sub><sup>-</sup> measurements are biased low due to HNO<sub>3</sub> volatilization from filters,<sup>51-53</sup> but adjusting for this does not always provide significant improvement.<sup>54</sup> Studies with other air quality models (notably CAMx<sup>5</sup> and CMAQ<sup>55,56</sup>) have emphasized the potential impact of NH<sub>3</sub> emissions controls on PM<sub>2.5</sub> concentrations, suggesting that our results are broadly consistent across models.

There are several possible sources of the nitrate bias in GEOS-Chem and other chemical transport models. The dependence of the rate of N<sub>2</sub>O<sub>5</sub> hydrolysis on aerosol water, nitrate, chloride, and organic content is uncertain, and nitric

acid concentrations can be highly sensitive to the overall hydrolysis rate.<sup>39,56-58</sup> Hydrolysis within GEOS-Chem includes limitation by nitrate, but this is a topic of ongoing research.<sup>57-61</sup> GEOS-Chem produces more HNO<sub>3</sub> from organic species reactions than other chemical mechanisms at low NO<sub>x</sub> concentrations but performs comparably at high NO<sub>x</sub>.<sup>62</sup> Heald et al.<sup>29</sup> explore several other potential sources of bias, but no solution has yet been found. However, our thermodynamic analysis increases our confidence that the importance of NO<sub>x</sub> emissions to winter PM<sub>2.5</sub> is not an artifact of this model bias.

Model resolution can also affect model performance. Our simulations have a resolution of ~55 km, compared to the 36 or 12 km resolution typical of regulatory models. Li et al.<sup>63</sup> show differences in GEOS-Chem simulations of US PM<sub>2.5</sub> at the nested and global resolutions. The maximum values for the three inorganic components are lower at low resolution, but the largest change (29%) is for SO<sub>4</sub><sup>2-</sup> and changes are most substantial near cities. Thompson, Saari, and Selin<sup>64</sup> show that PM<sub>2.5</sub> within CAMx changes by 10% across model resolutions from 4 km to 36 km. Pungler and West<sup>65</sup> found that CMAQ simulations produce PM<sub>2.5</sub> mortality estimates 11% higher at 36 km resolution than at 12 km. Much coarser resolutions (>100 km) show lower PM<sub>2.5</sub> concentrations, but the relative changes are much larger for other components of PM<sub>2.5</sub> than nitrate, sulfate, and ammonia. Our 55 km resolution is therefore sufficient for studying the regional response of inorganic PM<sub>2.5</sub> to large, nation-wide changes in emissions, and the computational efficiency of the lower resolution allowed us to explore sensitivities (requiring several simulations for each case).

The change in sensitivity to NH<sub>3</sub> emissions has several implications. First, NH<sub>3</sub> emissions controls have been identified as a potentially cost-effective way to improve air quality.<sup>5</sup> We do not analyze the costs of emissions controls, though the costs of SO<sub>2</sub> and NO<sub>x</sub> controls have likely changed from the redistribution of sources, but the impacts of NH<sub>3</sub> emissions controls could be much smaller than previously estimated. Second, previous studies comparing modeled and measured PM<sub>2.5</sub> in the US<sup>7,29,38,56</sup> have highlighted our generally poor understanding of the magnitude and seasonality of NH<sub>3</sub> emissions. Decreased sensitivity to NH<sub>3</sub> would limit the adverse effects of inaccurate emissions on model performance.

An alternative approach to our sensitivity analysis is to vary emissions based on economic sector (e.g., Caiazzo et al.<sup>13</sup>). However, simultaneous emissions changes in multiple sectors will not have the impact on PM<sub>2.5</sub> expected from changes in each sector individually. The changes in sensitivities presented here will help identify which sectors could be expected to have strong interactions. For example, broad agricultural NH<sub>3</sub> and NO<sub>x</sub> emissions can determine the neutralizing and oxidizing capacity of the background atmosphere and hence the impact of given coal SO<sub>2</sub> emissions on PM<sub>2.5</sub>.

Through this analysis, we find that lower NO<sub>x</sub> and SO<sub>2</sub> emissions lead to larger sensitivity to SO<sub>2</sub>; smaller sensitivity to NH<sub>3</sub>; and larger sensitivity to winter NO<sub>x</sub> emissions in the US. These interactions provide new avenues for effective air quality regulations and emphasize the need to consider multiple pollutants simultaneously.

## ASSOCIATED CONTENT

### Supporting Information

PM<sub>2.5</sub> component concentration maps, a detailed model-measurement comparison, further analysis of the SO<sub>2</sub> oxidation



pathways, and a comparison of a linear extrapolation to the full model. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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### Notes

The authors declare no competing financial interest.

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